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Akram M. Hosain, Emad Qaddoura, Mary Barnes and Haseeb Akhtar

For: **ACCOUNTING METHOD AND APPARATUS FOR COMMUNICATIONS NETWORKS**

Enclosed are:

- ☒ Certificate of Mailing with Express Mail Mailing Label No. **EL360180965US**
- ☒ 9 sheets of drawings.
- ☐ A certified copy of a application.
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Signature

Dan C. Hu, Reg. No. 40,025
Trop, Pruner, Hu & Miles, P.C.
8554 Katy Freeway, Suite 100
Houston, TX 77024
(713) 468-8880 [Ph]
(713) 468-8883 [Fax]

cc:

APPLICATION
FOR
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TITLE: ACCOUNTING METHOD AND APPARATUS
FOR COMMUNICATIONS NETWORKS

APPLICANTS: AKRAM M. HOSAIN, EMAD QADDOURA,
MARY BARNES, AND HASEEB AKHTAR

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ACCOUNTING METHOD AND APPARATUS
FOR COMMUNICATIONS NETWORKS

This application claims priority under 35 U.S.C. § 119(e) to U.S. Provisional Patent Application Serial No. 60/104,107, entitled "Mobile IP Integrated GPRS Accounting Framework," filed October 13, 1998; and U.S. Provisional Patent Application Serial No. 60/127,406, entitled "Merged Packet Service and Mobile Internet Protocol," filed April 1, 1999.

BACKGROUND

The invention relates to accounting methods and apparatus for communications networks, such as cellular networks and data networks.

With increased availability of data networks, such as the Internet and intranets, nodes coupled to the data networks can more easily communicate with each other. Popular forms of communications across data networks include electronic mail, file transfer, web browsing, and other exchanges of data. With improved network bandwidth, higher quality voice and/or video communications have also become possible.

Although data networks offer access to a wide array of communications options and information sources, such access is typically not available until the user is sitting at a terminal that is coupled to the data network, such as a terminal located in the home, office, or vehicle. To address this, proposals have been made for providing mobile, cellular computers that can connect to the data networks while moving from place to place. One protocol that has been proposed is the Mobile Internet Protocol (MIP), as described in Request for Comments 2002, entitled "IP Mobility Support," dated October 1996, and proposed by a working group within the Internet Engineering Task Force (IETF).

As a mobile node moves from location to location, the points of attachments of the mobile node to a data network may change, which may require a new IP address to be associated with the new point of attachment. A point of attachment may be defined as any wireless link between the mobile node and the data network within a given area. A wireless point of attachment may also be provided by a packet-based cellular system, such as a cellular digital packet data (CDPD) system, a general packet

radio service (GPRS) system, an enhanced GPRS (EGPRS) system, an EGPRS Compact system, or other packet-based cellular systems.

The Mobile IP protocol allows the mobile node to use either one of two IP addresses, which include a home address that may be assigned “permanently” to a mobile node and remains unchanged regardless of where the node is attached to the data network. The mobile node may also be assigned a care-of address that changes at each new point of attachment. The care-of address includes the visited network’s identity number and thus identifies the mobile node’s point of attachment. Whenever the mobile node moves, it registers its new care-of address with its home agent located in its home network. To get a packet to a mobile node from its home network, the home agent delivers the packet from the home network to the care-of address. The home agent is a router on a mobile node’s home network that delivers packets to roaming mobile nodes and maintains the current location for each mobile node. In a foreign network, which is any network other than the mobile node’s home network, a foreign agent, which is a router on a mobile node’s visited network, cooperates with the home agent to complete delivery of packets to the mobile node while it is away from the home network. When a mobile node moves away from its home network, it obtains a care-of address on the foreign network by soliciting or listening for special messages (referred to as agent advertisements).

In a traditional cellular network, a mobile user is typically charged for utilizing wireless services provided by a service provider. Typically, a user subscribes to a home service provider, which may have agreements in place with other service providers to allow the wireless user to roam between networks provided by the several service providers. Various types of accounting and billing mechanisms have been implemented to charge users for usage of services as they move between networks provided by different service providers. For example, an accounting architecture is defined by the CDPD standard, described in the CDPD System Specification, Release 1.1, dated January 1995, from the CDPD Forum, Inc. The CDPD protocol provides access to a packet-based network by providing a packet-based overlay to existing voice cellular systems. CDPD detects gaps between voice communications on cellular channels and directs packets of data to those channels. A service provider bills a subscriber for usage based on several parameters, including data packet counts, source and destination addresses, geographical location of the mobile node, and time of transmission. A primary service provider may have to share

revenue collected from a subscriber with other service providers whose networks the subscriber may have used during the billing period.

However, conventional systems such as CDPD systems do not bill specifically for usage of packet-based data networks, such as the Internet or intranets, for various services. Such services may include electronic mail, file transfer, electronic commerce, real-time services (such as voice communications over data networks and multimedia conferencing), and other data network services. Further, a general predetermined format for describing accounting information is not available for different service providers to conveniently exchange accounting information. A need thus exists for a method and apparatus that is capable of charging for services utilized on packet-based data networks and that utilizes a uniform format for accounting information.

SUMMARY

In general, according to one embodiment, a method of accounting for services provided over a packet-based network includes communicating units of accounting information carrying information regarding usage of the packet-based network. The unit of accounting information has a predetermined format capable of being exchanged between a plurality of entities. Values are assigned to entries in each unit of accounting information based on usage, the unit including a first entry indicating a type of service provided over the data network.

Some embodiments of the invention may offer one or more of the following advantages. By defining an accounting framework for charging services used on a packet-based network, service providers can bill subscribers for usage of packet-based networks. An accounting unit having a predetermined format that includes accounting information may be used to facilitate the exchange of accounting information between multiple service providers. Thus, accounting information collected by one service provider may be communicated to another service provider without complicated translations or conversions of accounting formats that may differ between service providers.

Other embodiments and advantages will become apparent from the following description, from the drawings, and from the claims.

BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a block diagram of an embodiment of a communications system including cellular networks and data networks.

Fig. 2 illustrates entities involved in accounting for services used by subscribers in accordance with an embodiment.

Fig. 3 illustrates an accounting unit according to an embodiment communicated by the entities of Fig. 2.

Fig. 4 illustrates accounting components of different service providers in accordance with one embodiment in the communications system of Fig. 1.

Fig. 5 illustrates an accounting architecture in accordance with one embodiment provided by the accounting components of Fig. 4.

Fig. 6 illustrates a traffic matrix segment that forms a unit of accounting information in accordance with one embodiment.

Fig. 7 illustrates the elements of a header in the traffic matrix segment of Fig. 6.

Fig. 8 illustrates row contents of the traffic matrix segment of Fig. 6.

Fig. 9 illustrates an accounting summary and settlement flow in accordance with one embodiment between the service providers of Fig. 4.

Fig. 10 is a block diagram of components in example servers associated with service providers.

DETAILED DESCRIPTION

In the following description, numerous details are set forth to provide an understanding of the present invention. However, it will be understood by those skilled in the art that the present invention may be practiced without these details and that numerous variations or modifications from the described embodiments may be possible.

Referring to Fig. 1, a communications system 10 includes various networks, including cellular networks 12 and 14 and a data network 16, which may include the Internet and various intranets, as examples. As used here, “a network” or “the network” may refer to one or more networks, communications channels, links, or paths. The data network 16 is a packet-based network over which various forms of data communications may occur. A packet-based network is a network over which communications of information is performed by sending and receiving packets,

datagrams, or messages. This is contrasted with circuit switched networks, such as voice networks provided by telephone providers and cellular providers. In a circuit-switched network, a line (or combination of lines) is occupied for the duration of the communications session.

Fixed nodes (non-mobile nodes) 32 and 34 may be coupled through service providers 36 and 38, respectively, to the data network 16. To obtain access to the Internet, users of fixed nodes 32 and 34 may subscribe with the service providers 36 and 38. Example communications between nodes coupled through the data network 16 may include electronic mail, file transfer, web browsing, chat sessions, real-time services such as audio and video conferencing, electronic commerce, multicast of multimedia data, and so forth. A real-time service may include any communications session in which some form of interactive exchange is occurring between two endpoints. Audio and video conferencing over a packet-based network involves the transmission and receipt of voice and video data in packets.

Conventionally, an accounting mechanism has not been developed for the different types of services available over the data network 16. A subscriber may pay a service provider a flat fee and in some cases fees based on time used for access to the data network 16. However, actual usage of different types of services generally have not been billed by service providers. Further, a general format for accounting information has not been defined that can be easily exchanged between service providers. In accordance with some embodiments, an accounting framework is provided for the various types of services offered by service providers over the data network 16 in which usage of services over the data network 16 is accounted for and which defines accounting units of predetermined formats that form the basic units for the exchange of accounting information. A uniform or common format is defined for the accounting units which may be employed by multiple entities, such as the service providers, to exchange accounting information.

As used here, “accounting” is used in its broad sense to refer to the collection, recordation, or communication of information from which an entity such as a service provider can determine charges to bill a subscriber or customer. “Accounting” may also refer to the billing or charging of subscribers or users of services. An “accounting framework” refers to the method and system employed to implement the accounting.

In addition to fixed nodes 32 and 34, mobile nodes 33 and 35 may also be coupled to the data network 16 through respective service providers 36 and 38 by some underlying wireless mechanism. To manage mobility of the mobile nodes 33 and 35, a version of Mobile Internet Protocol (MIP) may be employed in one embodiment. A version of MIP is described in Request for Comments (RFC) 2002, entitled "IP Mobility Support," dated October 1996. In accordance with some embodiments, the data network 16 may employ IP (Internet Protocol) to route data packets between a source endpoint and a destination endpoint, with the endpoints being fixed nodes (such as nodes 32 and 34) or mobile nodes (such as nodes 33 and 35). An IP address typically carries with it information that specifies the IP node's point of attachment. In an IP network, packets are routed from a source endpoint to a destination endpoint based on the destination IP address. However, as a mobile node travels between different networks, its point of attachment changes. Using MIP, mobility is made possible by assigning two addresses to the mobile node: a home address that is static, and a care-of address that changes at each new point of attachment. The home address makes it appear that the mobile node is continually able to receive data on its home network. However, when the mobile node moves to another network, it is attached to a visited or foreign network.

The home network includes a home agent (HA), which is a router that delivers packets to departed mobile nodes and maintains current location information for each mobile node. Each network also includes a foreign agent (FA), which is a router on a visited or foreign network that cooperates with the home agent to complete the delivery of packets when a mobile node is away from its home network. Packets that are sent to the mobile node's home address are intercepted by its home agent, tunneled (sent after predetermined encapsulation) by its home agent to the care-of address, received at the tunnel endpoint (either at a foreign agent or at the mobile node itself), and finally delivered to the mobile node. In an example arrangement, the home network for the mobile node 33 may be provided by one of the service providers 36 and 38 and the foreign network provided by the other one of the service providers.

In addition to networks provided by the service providers 36 and 38, cellular networks 12 and 14 may also be part of the communications system 10. The cellular networks offer both circuit-switched services and packet-switched services offered by a packet-switched system that is an overlay of the circuit-switched system. The first

cellular network 12 is controlled by a mobile switching center (MSC) 26 for circuit-switched (voice, short messaging, and other types of circuit-switched services) communications. The MSC 26 controls communications between mobile nodes as well as between a mobile node and a wireline unit coupled through a public switched telephone network (PSTN) 18. The MSC 26 is coupled to a base station 22 over a link, referred to as an A link. The base station 22 includes antennas to transmit and receive control and traffic signaling to and from a mobile node 20.

To provide access for a mobile node in the cellular network 12 to the packet-switched data network 16, a service node 24 is provided between the base station 22 and the data network 16. In accordance with one embodiment, the service node may be a general packet radio service (GPRS) service node, referred to herein as GSN. GPRS provides a high-speed packet data technology to provide wireless data services. GPRS is designed to complement GSM (Global System for Mobile) circuit-switched networks. More recently, third generation protocols for packet-switched communications over wireless networks have also been proposed, such as EGPRS (enhanced GPRS) and EGPRS Compact. Such packet-based cellular systems may also be employed in further embodiments.

As shown in Fig. 1, the link between the GSN 24 and the base station 22 is referred to as a G_b link, while the link between the GSN 24 and the data network 16 is referred to as a G_i link. The GSN 24 can also be coupled to GSNs in other cellular networks, such as a GSN 28 in the cellular network 14. The link between two GSNs is referred to as a G_p link. The types of packet-switched protocols that GPRS may support include IP and the X.25 Protocol. IP is described in RFC 791, entitled "Internet Protocol," dated September 1981. X.25 is a protocol developed by the International Consultative Committee on Telephony and Telegraphy (CCITT).

In one example arrangement, the cellular network 12 may be provided by a service provider 40 and the cellular network 14 may be provided by a service provider 42. A mobile node may roam among the cellular networks 12 and 14 as well as between a cellular network and a network provided by one of the service providers 36 and 38. To provide continual access to the data network 16 as the mobile node moves from a cellular network (12 or 14) to a network provided by one of service providers 36 and 38, home agents (25 and 29) and foreign agents (27 and 31) are defined in the networks 12 and 14 to provide mobility management according to MIP. During roaming of the various networks, a mobile node can continue to access the various

types of services offered over the data network 16. Thus, according to MIP, a mobile node may be forwarded packets to its care-of address as it moves within the networks provided by service providers 36 and 38, the cellular networks 12 and 14, and therebetween. In accordance with some embodiments, an accounting framework provides a mechanism to account for usage of services in the data network 16 by either fixed nodes or mobile nodes.

Each GSN 24 or 28 is also coupled to a home location register (HLR) 30 or 31, respectively, which contains a database of subscriber information used to provide control in cellular networks. The HLR is managed by the cellular service provider and represents the home database for subscribers who have subscribed to a service with the cellular service provider. The HLR contains a record for each home subscriber that includes location information, subscriber status, subscribed features and directory numbers. The link between an HLR and a GSN is referred to as a G_r link.

In accordance with some embodiments, usage of the wireless connection services offered by the various service providers (36, 38, 40, 42) as well as services over the data network 16 are subject to accounting. According to one embodiment of an accounting framework, various entities are involved, including a primary service provider, a home service provider, a serving service provider, and a consolidation service provider. Separation of the roles of the primary and home service providers allows a business entity to offer services to subscribers without having to operate a network. Thus, the primary service provider does not necessarily operate a service provider network, but is capable of activating subscribers on either a cellular access provider, such as a provider for the cellular network 12 or 14, or on an Internet service provider, such as service providers 36 and 38. In effect, a primary service provider provides the accounting home for a subscriber. A home service provider provides the routing home for a subscriber. A serving service provider operates a serving network to serve a mobile node when it visits the serving network. A consolidation service provider provides consolidation services to customers requiring consolidated billing for a group of subscribers. It is contemplated, however, that the home service provider, primary service provider, and consolidation service provider may be part of the same entity. Further, the primary service provider and consolidation service provider may collectively be referred to as a customer service provider.

Referring to Fig. 2, three entities are illustrated: a serving service provider 50, a home service provider 52, and a customer service provider 54. Although illustrated

as separate entities, the service providers 50, 52 and 54 may be one entity. For example, a mobile node that stays within its home network is served by its home service provider. The home service provider 52 may also be responsible for customer service tasks such as billing and establishment of services (tasks that may be provided by the customer service provider 54). The accounting framework is also available for fixed nodes, such as fixed node 66. When applied to a fixed node, the concept of visiting another network is not applicable. The accounting information of a fixed node is collected by its home service provider, which may be an Internet service provider.

In the illustrated embodiment of Fig. 2, a mobile node may be serviced by the serving service provider 50. Usage of services is monitored by an accounting meter 56, which communicates accounting units to an accounting processor 58 in the serving service provider. The accounting processor 58 packages the accounting units into accounting segments that are sent to an accounting processor 60 in the home service provider 52 and/or to an accounting processor 62 in the customer service provider 54. Such accounting segments may also be packaged as accounting segments and sent from the home service provider 52 to the accounting collector 62 in the customer service provider 54. From information in the accounting collector 62, bills may be generated for subscribers of the customer service provider 54. As used here, an “accounting unit” may refer to the unit of accounting information collected by a monitoring device such as the accounting meter. “Accounting unit” may also refer to any accounting information packaged to be exchanged between entities, such as the accounting segments referred to above.

An accounting meter 68 may also be provided in the home service provider 52 for monitoring usage of mobile nodes in its network and to monitor usage of the fixed node 66. The accounting meter 56 in the serving service provider 50 may also be coupled to fixed nodes. The designation of “serving” and “home” when applied to fixed nodes is not applicable. Fixed nodes are coupled to their subscribed service providers. However, to the extent that a fixed node is accessing a network operated by another service provider, the other service provider may collect accounting information from which the subscriber may be charged. Also, the designation of “serving” and “home” as shown in Fig. 2 may be swapped if another mobile node has the service provider 50 as its home provider and the service provider 52 as its visited or external provider.

Referring to Fig. 3, in accordance with some embodiments, each accounting unit 70 generated by the accounting meter 56 or 68 may include a number of fields to monitor usage of wireless networks and the data networks. Such fields are used to account for usage of services in accordance with some embodiments so that subscribers may be billed.

A service type field 71 in each accounting unit 70 indicates the type of service that is provided on the data network 16. Such services may include real-time services (such as multimedia conferencing or messaging), electronic commerce, multicast services, electronic mail services, web browsing, file transfer services, and so forth.

The service type field 71 allows a service provider to bill according to service type, since different types of services require different levels of resources. For example, multimedia conferencing may require a relatively high level of resource usage on the data network 16 since relatively large amounts of data are communicated with some level of quality assurance. Accordingly, a service provider may charge more for such services. On the other hand, electronic mail requires relatively low resource usage. The service type field 71 is logged in the accounting unit 70 when a subscriber makes a service request.

Another field in the accounting unit 70 is a usage of air interface field 72, which provides information of radio resource usage by a mobile node. The usage of air interface field 72 describes the amount of data transmitted to and from the mobile node along with quality of service (QoS) information to indicate whether the data sent is delay sensitive or delay tolerant. The usage of air interface field 72 may also supply the user protocols employed, such as IP or X.25. The amount of data that is sent or received to or from the mobile node 64 is logged.

Another field in the accounting unit 70 is a usage of external data network field 73, which provides charging information describing the amount of data sent and received from the visited or external data network provided by the serving service provider 50. The usage of external data network field 72 helps to consolidate the billing issues between two service providers by setting up the billing rate for inter-network roaming by a mobile node. The amount of data that is transferred between a mobile node and a visited or external data network is logged in this field.

Another field is a usage of mobility management field 74, which provides information of mobility management resources. A mobile node can choose to activate or not mobility management. If mobility management is activated, the mobile node

can roam between different networks while staying connected to the data network 16. Such a capability is offered by mobile IP, in which a care-of address may be changed as a mobile node changes its points of attachment to the data network 16 when it travels between networks. The mobile node may be in one of three mobility

5 management states: idle, standby, and active. In standby or active state, mobility management is available. However, when the mobile node is in an idle state, mobility management is turned off. When in the idle state, the ability to stay continually connected to a data network as a mobile node changes points of attachment is not available. A subscriber may be charged for mobility management based on the
10 amount of time used, such as by the second.

The accounting unit 70 also includes a billable number field 75. The billable number field 75 may be in the format of a credit card number, an authorization number, a full national number, an IP address, or even a phone number. The field 75 provides the information about how to bill the mobile node. The billable number field
15 75 may include a type sub-field to identify the type of billable number. The billable number field 75 may be set for each subscriber when service is initially established for a subscriber by a provider, or the field 75 may be dynamically set depending on how a subscriber desires to pay for a given service.

Another field in the accounting unit 70 is a charging zone field 76, which
20 allows for different charging zones to be set up in a logical network. This information allows a service provider to charge a subscriber different rates in different locations, such as by geographic locations or by intranet versus Internet use.

Another field is a termination error code field 77, which records any error that may cause an abnormal service termination. This information helps a service
25 provider to improve service as well as to justify issuing credit to the user if needed. The information is captured when a call is dropped due to any error. For example, international calls may suffer high degradation in quality due to low quality transmission facilities of the called party. Having this field makes it easier for the service provider to issue credit to the users, who may complain about poor quality.

30 The accounting unit 70 may also include a source and destination address field 79, which describes the source and destination addresses (e.g., IP addresses) of source and destination endpoints. The source and destination addresses help identify the network entity that sends packets to a served mobile or fixed node, and also identifies

the destination of packets sent from the served mobile or fixed node. This information is logged while a subscriber sends or receives data.

Another field is the quality of service (QoS) field 78, which indicates the quality of service, such as for voice communications or multimedia conferencing over the data network 16, that was employed for the particular communications session. The higher the QoS, the larger the bandwidth consumed, thus justifying billing the user at a higher rate.

The accounting unit 70 also includes a data packet count field 80, which counts the total number of data units that are exchanged between the mobile node or the fixed node 66 and a service provider. Another field is a network access identifier field 81, which is used to uniquely identify a node in a network (e.g., user@nortel.com). The service provider provides this unique information before service can be rendered to the node. The NAI can remain unique even in a private network setup where an IP address that belongs to the particular enterprise may easily be a duplicate of another public (unique) IP address.

A usage of PDP (Packet Data Protocol) context and tunnel management services field 413 includes charging information describing how long a mobile node has used the packet data protocol (e.g., IP or X.25). Such information provides a service provider the opportunity to charge for the usage of a packet data protocol. While PDP context and tunnel management is active, a mobile node is allowed to send and receive data. When PDP context and tunnel management is not active, a mobile node is not allowed to send or receive data. A mobile node may choose to stay in an active PDP context and tunnel management state even though it is not actively sending or receiving any data. If PDP context and tunnel management is active, a service provider may want to know about the duration of this active state and charge accordingly.

A metering class field 414 indicates metering values such as pay phone, credit card, test call, ordinary, audit purpose, study, and so forth. If chargeable, this information is used to identify the billing rate of the call. This is captured during the registration of a call. Other fields that may be included in the accounting unit 70 are described further below.

The foregoing provides a general description of entities that may be involved as well as accounting units that may be employed in an accounting framework according to some embodiments. Accounting units exchanged between different

types of providers (such as cellular service providers and Internet service providers) use common identifiers to keep track of the records. The common identifiers may be based on the identification of the subscriber, such as a mobile identification number (MIN), a network access identifier (NAI), an IMSI, and so forth. The common
 5 identifier may also be some other arbitrary identifier from which a service provider may derive (such as from a table) the identity of the node.

A more detailed accounting framework is described below. In one embodiment, the accounting framework employed may be based on, but modified from, the CDPD accounting service and protocol, as described in the CDPD System
 10 Specification. It is noted that other frameworks may be used in other embodiments.

Referring to Fig. 4, a serving service provider 100, a home service provider 102, a primary service provider 104, and a consolidation service provider 106 are illustrated. Each of the serving service provider 100 and home service provider 102 may either be a cellular access provider or an Internet service provider. The cellular
 15 access provider operates a cellular access network, such as networks 12 and 14 (Fig. 1). A cellular access provider includes at least one GSN and a routing home accounting distributor (R-HAD), which is discussed further below. An Internet service provider operates an Internet service provider network that includes at least a home agent and foreign agent and an R-HAD.

In Fig. 4, it is assumed that a mobile node is being served by the serving service provider 100. The serving service provider 100 includes a module 108 (a GSN or foreign agent) that includes one or more accounting meters (AM). Subscriber information of a visiting mobile node may be forwarded by a module 130 (a GSN or home agent) in the home service provider 102 to the serving service provider. The
 20 home service provider 102 maintains a subscriber profile database 132.

The accounting meters generate accounting units, referred to as traffic matrix segments (TMS), that contain captured accounting information based on usage in response to various communication events, such as registration and deregistration and usage of various services. For a mobile node, the accounting meters may be part of
 25 the GSN of a cellular service provider or the home agent or foreign agent of an Internet service provider.

The accounting meters deliver traffic matrix segments to a serving accounting distributor (SAD) 110. The SAD 110 sorts the traffic matrix segments into different home accounting segments (HAS) based on the primary and routing homes of each

subscriber. The home accounting segments are delivered to an R-HAD 112 in the home service provider 102 and to a P-HAD (primary HAD) 114 in the primary service provider 104. The SAD 110 also delivers home accounting segments (HAS) to a serving accounting collector (SAC) 116 located in the serving service provider

5 100. The home accounting segments contain subsets of the information in the traffic matrix segments. The serving accounting collector 116 receives all home accounting segments distributed by multiple SADs 110 of the serving service provider 100, and thus stores all accounting data pertaining to service provided the serving service provider 100 in its serving role. The serving accounting collector 116 stores such

10 information to maintain an audit trail.

Each of the R-HAD 112 and P-HAD 114 receives home accounting segments from multiple SADs 110 of different service providers and consolidates them into consolidation accounting segments (CAS). Consolidation accounting segments contain subsets of information in the home accounting segments. The primary HAD

15 114 delivers the consolidated accounting segments to a home accounting collector (HAC) 118 in the primary service provider 104. The primary HAD 114 also delivers consolidated accounting segments to a consolidation accounting collector (CAC) 120 in the consolidation service provider 106. The routing HAD 112 delivers consolidated accounting segments to a home accounting collector 122 in the home

20 service provider 102.

The home accounting collectors 118 and 122 receive consolidation accounting segments from their respective HADs. The consolidation accounting segments received by each home accounting collector are stored, analyzed, and processed for each mobile node or fixed node in the system. From the collected information,

25 subscribers and customers may be billed based on usage of services on the data network 16 and wireless usage (for mobile nodes). The information collected in the consolidation accounting collector 120 allows consolidation of accounting information collected for several subscribers that may belong to the same accounting group.

30 The traffic matrix segments, home accounting segments, and consolidation accounting segments may all be referred to as "accounting units" since they have predefined formats for carrying accounting information. The accounting units may be conveniently exchanged between entities involved in the accounting process. Using the accounting units in accordance with some embodiments, translation or conversion

between formats is not needed when accounting information is exchanged, making the accounting procedure less complicated than a system in which entities such as service providers may define their own proprietary formats.

The flow of accounting information is illustrated further in Fig. 5. Although
 5 illustrated as being separate entities in Fig. 4, the serving service provider 100, the home service provider 102, the primary service provider 104, and the consolidation service provider 106 may be part of the same entity in some embodiments. For example, a mobile node may stay within its home service provider network, in which its home service provider may also be its primary service provider. Thus, the home
 10 service provider in this scenario is also a serving service provider.

Thus, as illustrated in Fig. 5, an accounting meter 200 in the service provider
 serving a mobile node collects statistical information to provide one or more traffic
 matrix segments that are forwarded to an SAD 202. The GSN of a cellular service
 15 provider is responsible for collecting charging information relating to the cellular (e.g., GPRS) networks and radio usage as well as external network usage. The home agent or foreign agent of an Internet service provider is responsible for collecting charging information relating to the Internet service provider and external network usage. For fixed nodes, Internet service providers may also collect charging
 20 information for usage of services over the data network 16. The SAD 202 converts each traffic matrix segment into home accounting segments and delivers the home accounting segments to a serving accounting collector 204 and to a home accounting distributor 206. The SAD 202 can combine traffic matrix segments from multiple accounting meters into a home accounting segment, or it can split a traffic matrix
 25 segment for delivering in different home accounting segments.

The HAD 206 encapsulates received home accounting segments into
 consolidation accounting segments that are sent to a home accounting collector 208
 and/or to a consolidation accounting collector 210.

Referring to Figs. 6-8, in accordance with one embodiment, a basic accounting
 unit 70 can include a traffic matrix segment 300. However, as noted above, the
 30 information in the traffic matrix segment may be repackaged into other accounting units, such as the home accounting segments and consolidation accounting segments. The traffic matrix segment 300 may include a header portion 302 and a plurality of row entries 304. Each row entry 304 may be thought of as a record of a session between two network entities over some period of time (referred to as an accounting

meter duration). The header 302 captures information common to all row entries 304, including the start time and actual duration.

As illustrated in Fig. 7, the traffic matrix segment header 302 includes several fields, including a segment type field to distinguish a traffic matrix segment from a home accounting segment or a consolidation accounting segment. A version field 312 indicates the version of the traffic matrix segment format. A node ID field 314, contains mobile and packet data protocol context/care-of address of the specific GSN or foreign agent that generated the traffic matrix segment. The node identifier serves as an identifier for the accounting meter. A start time field 316 identifies the date and time of day that the traffic matrix segment was instantiated. A duration field 318 provides the amount of time between the instantiation of the traffic matrix segment and the time a reporting trigger is fired, which may be at least once every hour. A sent time field 320 indicates the date and time of day that the traffic matrix segment was sent to an SAD. A sequence number field 322 is a number that is incremented each time a new traffic matrix segment is sent to an SAD.

The fields of each traffic matrix segment row are illustrated in Fig. 8. Some of the fields of the traffic matrix segment have already been described in connection with Fig. 3, including fields 71-82. The other fields of each traffic matrix segment row 304 are described below. Although a number of fields are defined, how those fields are employed by service providers to account for services and bill subscribers is dependent on the individual service provider. For example, depending on policies and service agreements in place with subscribers, a service provider may choose to charge or not charge for certain types of services, such as electronic mail, web browsing, and so forth. Also, some service providers may choose to ignore some of the fields listed below, using only a subset of the fields available in a traffic matrix segment.

A cell identifier field 400 in the traffic matrix segment row 304 contains information about the cell that provided service for transfer of packets or datagrams between a mobile node and a cellular node, such as a GSN or a foreign agent in either the forward or reverse direction. The cell identifier field 400 identifies the cell being used by the mobile node at the time the row 304 was created in the traffic matrix segment 300.

A traffic type field 401 indicates the type of packets or datagrams that is being measured. The serving information field 402 includes information that is used for settlement between service providers. The serving information field 402 may include

the following values. An SPI (service provider identifier) identifies the business entity that will participate in settlement activities with the primary service provider. A serving BRI (business relationship identifier) value uniquely identifies a business relationship for service providers and is used for revenue sharing upon settlement
 5 between service providers. Other information may also be included in the serving information field 402.

A home information field 403 includes the following information. A service provider identifier of a primary service provider (primary SPI) and home accounting distributor identifier of a primary service provider (P-HAD-ID) are associated with a
 10 mobile node's care-of address. A routing SPI (service provider identifier of the home service provider) and a routing HAD identifier (R-HAD-ID) are also associated with a mobile node's care-of address. If the accounting home and routing home for a care-of address are the same (indicating that the primary and home service providers for the mobile node are the same), then the routing SPI and R-HAD-ID fields are set to zeros.

15 The contents of the home information field 403 may be retrieved by a GSN or home agent from the subscriber profile 132 (Fig. 4) or by some other mechanism. The SPI and HAD-ID values noted above (both primary and routing) are used by an SAD to determine where to send a home accounting segment, as discussed in connection with Figs. 4 and 5.

20 An equipment identifier field 404 is an identifier transmitted from a mobile node to a cellular node, such as a foreign agent or GSN, in an identity request message. This identifies the equipment type of the mobile node. An authentication sequence number field 405 includes an authentication sequence number that is captured by a cellular node (such as a GSN or foreign agent), during a mobile network
 25 registration sequence. A source-destination flag 406 determines which direction a packet is flowing (to or from the mobile node). An MIN field 407 is an identifier of the network layer that is running at the subscriber's node on the mobile side.

A session ID field 408 is a unique identifier for a session. A session duration field 409 measures the duration of a session from call origination to termination. A
 30 sub-usage duration field 410 is the duration of a session between two care-of addresses. In other words, the sub-usage duration field 410 indicates the amount of time elapsed between changing of care-of addresses in response to a mobile node changing points of attachment.

A charging identifier field 411 is a PDP context identifier used to identify the PDP context in different records created by cellular nodes, such as GSNs. A PDP type field 412 indicates a PDP type, such as X.25 or IP.

As explained above, the data packet count field 80 is a count of the total number of data packets (non-control packets) sent in the direction indicated by the source/destination flag 406 for the duration of the traffic matrix segment. A data octet count field 415 may also be provided to count the total number of octets of non-control packets sent in the direction indicated by the source/destination flag 406. A control packet count field 416 may also be provided that is a count of the total number of control packets sent in the direction indicated by the source/destination flag. A control octet count field 418 includes the count of total number of octets in control packets sent in the direction indicated by the source/destination flag.

A discarded packet count field 419 indicates the number of data packets that were sent in the forward direction to a mobile IP address and that have to be discarded by the network. Forward channel packets may be discarded if the mobile node moved to a new cellular node (e.g., GSN or foreign agent) but the GSN/home agent has not yet been updated with this fact.

The information compiled into the traffic matrix segments by accounting meters are used by service providers to bill subscribers according to their usage of services. Each service provider may choose to charge differently based on the accounting information provided in the traffic matrix segments. However, embodiments of the invention provide a common accounting unit that may be used by multiple service providers to bill their subscribers. Advantages offered by some embodiments are that service providers do not need to rely upon proprietary accounting mechanisms to bill for services. By using a common accounting unit that is understandable by all service providers, uniformity in the way accounting information is collected by service providers is enhanced, thereby simplifying the cooperation of service providers in collecting information to bill subscribers. For example, if exchange of accounting information is needed, translations or conversions between different formats can be avoided by using the common format.

Referring to Fig. 9, the service providers may also perform procedures for exchange of summary and settlement information to support subscriber billing and inter-service provider reconciliation. The serving and home service providers may periodically send a summary segment to all primary service providers with which they

have a business agreement. This is done to ensure that both parties have the same information regarding services that have been used by subscribers. This supports subscriber billing by a primary service providers and enables settlement between service providers.

5 A summary segment sent by the serving service provider 100 to a primary service provider 104 contains usage charges (including taxes) that the serving service provider 100 calculates as its expected payment for the usage incurred by visiting subscribers. The charges for this usage is based on home accounting segments collected by the serving accounting collector 116 for subscribers of the primary
10 service provider 104. The summary segment from the home service provider 102 to the primary service provider 104 contains charges (including taxes) that the home service provider 102 calculates as its expected payment for forwarding services for visiting subscribers, based on the summary of usage of services for each subscriber.

15 The serving and home service providers 100 and 102 also send settlement segments to the primary service provider 104 on a periodic basis to enable the invoicing and payment process. Settlement segments contain total charges due from a primary service provider over the settlement period.

20 The serving accounting collector 116 sends home accounting segments to a rating and taxing entity 502 that passes the usage and rating information to a summary and settlement serving accounting distributor (SS-SAD) 504. Similarly, the home accounting collector 122 sends consolidation account segments to a rating and taxing entity 506 that forwards the usage and rating information to a summary and settlement routing home accounting distributor (SS-R-HAD) 508. The rating and taxing entities 502 and 506 calculate charges and taxes based on national, state, and local regulations
25 and inter-service provider agreements. The SS-SAD 504 and SS-R-HAD 508 send summary and settlement segments to the summary and settlement home accounting distributor (SS-P-HAD) 510 in the primary service provider 104.

30 Referring to Fig. 10, example components of servers or systems 600 and 602 corresponding to different service providers are illustrated. Each server or system 600 or 602 may include a GSN, an HA, an FA, or any other system involved in performing accounting in accordance with some embodiments. Each server 600 and 602 includes software application routines 608 and 614, respectively. The application routines include the various accounting components described above, such as an accounting meter, an HAD, an SAC, an HAC, a CAC, or any other software routine

or module involved in performing accounting in accordance with some embodiments. Multiple servers or systems may be associated with each service provider. For example, a cellular service provider may have a server for providing circuit-switched wireless services (e.g., the MSC) and another server for providing packet-based wireless services (e.g., the GSN).

The applications may communicate over a network (such as the network 16) through network stack and driver layers (610 and 616) and network interfaces (612 and 618). The network stack 610 or 616 may include a TCP/IP (Transmission Control Protocol/Internet Protocol) stack. The network interface 612 or 618 may include a network controller. The software routines and modules in each server or system 600 or 602, such as applications 608 or 614, may be executable on a respective control unit 604 or 620. A storage unit 606 or 622 is also included in each server or system to store data (e.g., accounting data) and instructions (e.g., instructions of the routines and modules for performing accounting tasks).

Each control unit 604 or 620 may include any one of the following components: microprocessors, microcontrollers, processor cards (including one or more microprocessors or microcontrollers), and other control or computing devices. Each storage unit 606 or 622 may include machine-readable storage media for storing data and instructions. The storage media may include different forms of memory including semiconductor memory devices such as dynamic or static random access memories (DRAMs or SRAMs), erasable and programmable read-only memories (EPROMs), electrically erasable and programmable read-only memories (EEPROMs), and flash memories; magnetic disks such as fixed, floppy and removable disks; other magnetic media including tape; and optical media such as compact discs (CDs) or digital video discs (DVDs). Instructions that make up the various software layers in each of the servers or systems may be stored in each respective storage unit. The instructions when executed by a respective control unit cause the server or system to perform programmed acts.

The instructions of the software routines or modules may be loaded or transported into a system or device in one of many different ways. For example, code segments or instructions stored on floppy disks, CD or DVD media, the hard disk, or transported through a network interface card, modem, or other interface mechanism may be loaded into the system or device and executed as corresponding software routines or modules. In the loading or transport process, data signals that are

embodied as carrier waves (transmitted over telephone lines, network lines, wireless links, cables, and the like) may communicate the code segments or instructions to the system or device. Such carrier waves may be in the form of electrical, optical, acoustical, electromagnetic, or other types of signals.

- 5 While the invention has been disclosed with respect to a limited number of embodiments, those skilled in the art will appreciate numerous modifications and variations therefrom. It is intended that the appended claims cover all such modifications and variations as fall within the true spirit and scope of the invention.

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What is claimed is:

- 1 1. A method of accounting for services provided over a packet-based
2 network, comprising:
3 determining a type of service used over the network;
4 monitoring usage of the service; and
5 collecting accounting information based on the type of service and usage
6 of the service.
- 1 2. The method of claim 1, wherein the determining, monitoring, and
2 collecting are performed in a first entity, the method further comprising transmitting,
3 from the first entity, the collected accounting information to at least another entity.
- 1 3. The method of claim 2, further comprising assigning an identifier with the
2 collected accounting information that is common between the first entity and the at least
3 one other entity.
- 1 4. The method of claim 2, wherein collecting the accounting information
2 includes compiling the accounting information into an accounting unit.
- 1 5. The method of claim 4, further comprising using an accounting unit
2 having a common format for convenient exchange between entities.
- 1 6. The method of claim 4, further comprising using an accounting unit
2 including a traffic matrix segment.
- 1 7. The method of claim 1, wherein determining the type of service includes
2 determining one of a plurality of service types.
- 1 8. The method of claim 7, wherein determining one of the plurality of service
2 types include determining one of real-time communications and at least another type of
3 service.

1 9. The method of claim 1, further comprising determining a quality of
2 service for communications over the network.

1 10. The method of claim 1, further comprising determining if mobility
2 management is provided for service on the network used by a mobile node.

1 11. The method of claim 1, further comprising determining usage of a radio
2 interface by a mobile node to access the network.

1 12. The method of claim 1, further comprising determining usage of a visited
2 network by a mobile node.

1 13. The method of claim 1, further comprising determining usage of a packet
2 data protocol to communicate information over the network.

1 14. The method of claim 1, further comprising determining a metering class of
2 the service that indicates if the service is chargeable.

1 15. The method of claim 1, further comprising creating a network access
2 identifier to identify a node using the service.

1 16. A method of accounting for services provided over a packet-based
2 network, comprising:
3 communicating units of accounting information carrying information
4 regarding usage of the packet-based network, the unit of accounting information having a
5 predetermined format capable of being exchanged between a plurality of entities; and
6 assigning values to entries in each unit of accounting information based on
7 usage, the unit including a first entry indicating a type of service provided over the data
8 network.

1 17. The method of claim 16, wherein assigning values to entries further
2 includes assigning a value to an additional entry indicating a quality of service.

1 18. The method of claim 17, wherein assigning values to entries further
2 includes assigning values to additional entries including entries indicating usage of a
3 radio interface, indicating usage of a visited network, indicating usage of mobility
4 management, and indicating an amount of data transferred.

1 19. The method of claim 18, wherein assigning values to entries further
2 includes assigning a value to an additional entry indicating erroneous termination of
3 communications.

1 20. The method of claim 19, wherein assigning values to entries further
2 includes assigning a value to an additional entry indicating an amount of discarded data.

1 21. A system capable of being coupled to a packet-based network,
2 comprising:
3 a unit to collect usage information based on a service used by a node on
4 the packet-based network; and
5 a storage device containing an accounting unit in which the usage
6 information is collected, the accounting unit including a plurality of entries to identify
7 usage elements from which accounting may be derived.

1 22. The system of claim 21, wherein the entries of the accounting unit include
2 an entry identifying a type of service used.

1 23. The system of claim 21, wherein the entries of the accounting unit include
2 an entry identifying a quality of service used.

1 24. The system of claim 21, wherein the entries of the accounting unit include
2 entries indicating elements used by a mobile node, including mobility management,
3 usage of a radio interface, and usage of a visited network.

1 25. The system of claim 21, wherein the accounting unit includes a traffic
2 matrix segment.

1 26. The system of claim 21, wherein the accounting unit is according to a
2 predetermined format, the system further including a unit to communicate the accounting
3 unit to another entity.

1 27. A system for performing accounting for usage of services on a packet-
2 based network, comprising:
3 an accounting processor adapted to receive accounting units from at least
4 one other entity; and
5 a storage device to collect the accounting units, the accounting units
6 having a predetermined format and including information indicating at least a type of
7 service used over the network.

1 28. The system of claim 27, wherein the accounting processor is adapted to
2 generate billing to a subscriber based on one or more of the accounting units.

1 29. An article including one or more machine-readable storage media
2 containing instructions for accounting for services used on a packet-based data network,
3 the instructions when executed causing a system to:
4 determine usage elements associated with each service, the usage elements
5 including a service type and amount of data communicated; and
6 collecting accounting units each including entries identifying the usage
7 elements.

1 30. The article of claim 29, wherein the one or more storage media contain
2 instructions that when executed causes the system to further communicate the accounting
3 units to another entity.

1 31. A computer data signal embodied in a carrier wave comprising one or
2 more code segments containing instructions for accounting for services used on a packet-
3 based data network, the instructions when executed causing a system to:
4 receive accounting units from at least another entity;
5 determine, from each accounting unit, usage of a service on the packet-
6 based network; and
7 charging at least a subscriber for the usage of the service.

1 32. A storage device for storing data for access by one or more software
2 routines being executed on a system, comprising:
3 a data structure stored in the storage device and including a plurality of
4 entries, the entries including a first field indicating a type of service provided over a
5 packet-based network, a second field indicating if the service is chargeable, and a third
6 field including an identifier identifying a node using the service.

1 33. The storage device of claim 32, wherein the data structure further includes
2 a field indicating if mobility management is provided for the node, a field indicating
3 usage of a radio interface by the node, and a field indicating usage of a visited network by
4 the node.

ABSTRACT OF THE DISCLOSURE

An accounting framework is provided for a communications system having a plurality of service providers, including cellular service providers and/or data network service providers, e.g., Internet service providers. Accounting units containing accounting information are exchanged between service providers to allow the service providers to charge for usage of services. The accounting units have a predetermined format to allow them to be exchanged between different service providers. Each accounting unit includes a plurality of fields, including a service type field, a usage of radio interface field, a usage of a visited or external network field, a usage of mobility management field, a quality of service field, a usage of a packet data protocol field, and other fields. Users may be charged for services based on these fields.

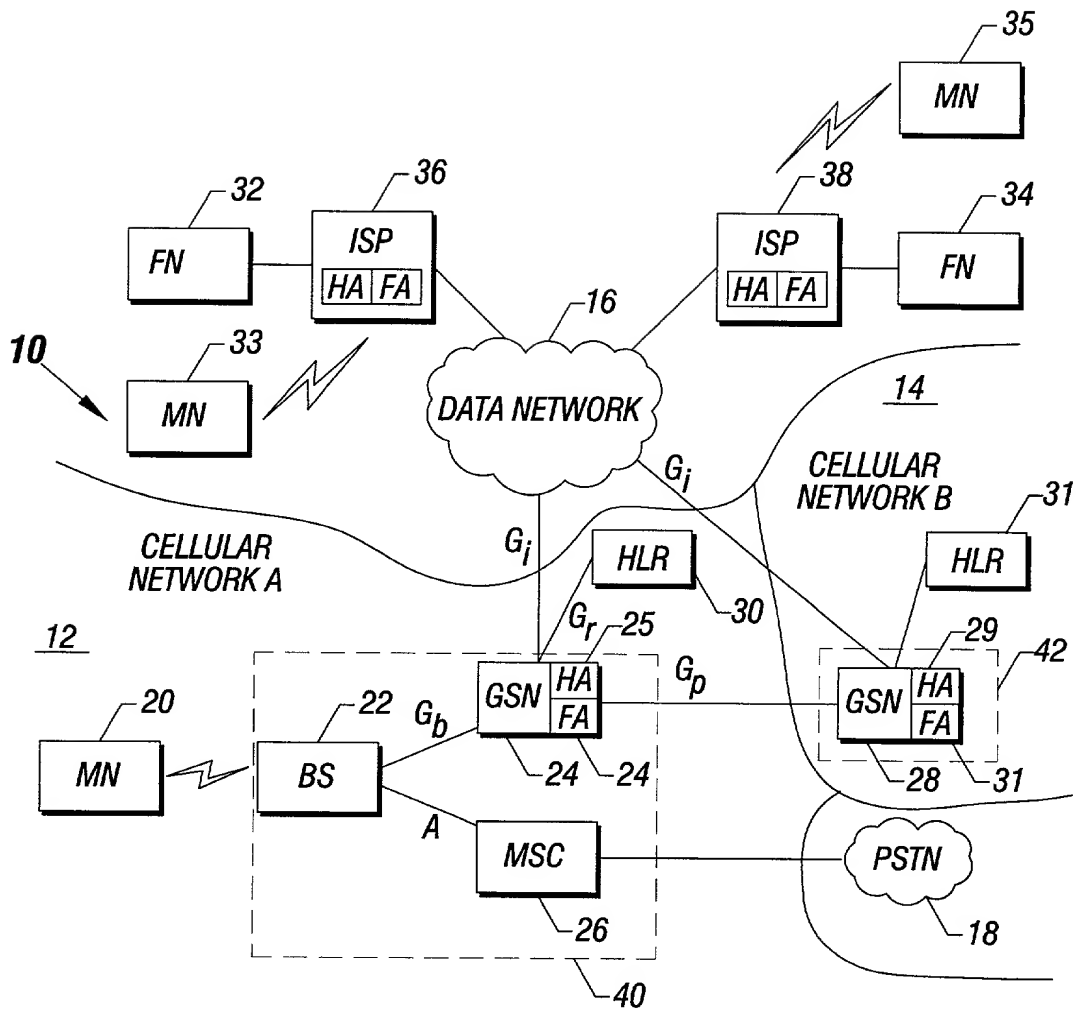
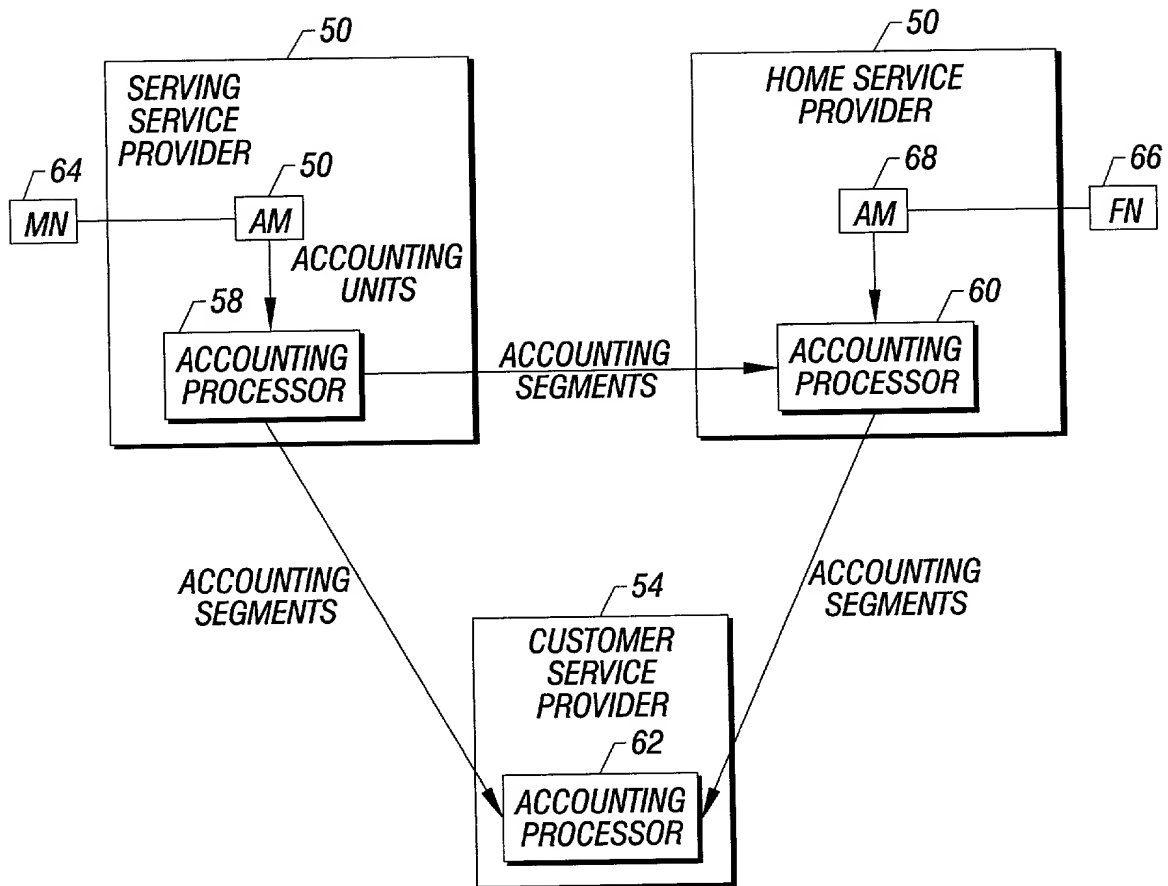
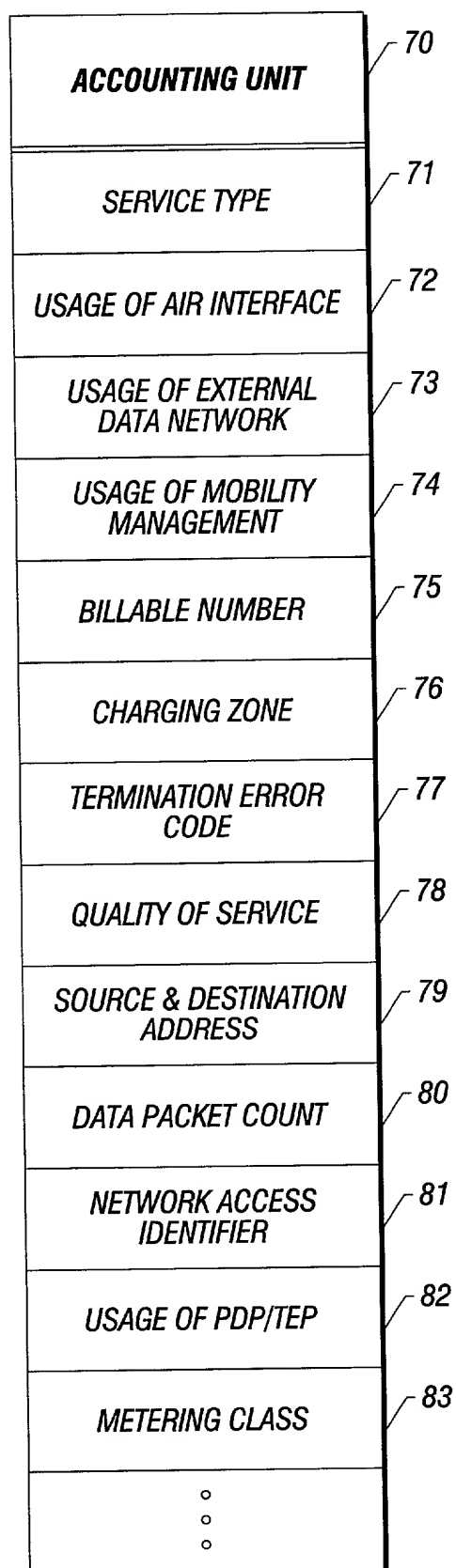


FIG. 1

**FIG. 2**

**FIG. 3**

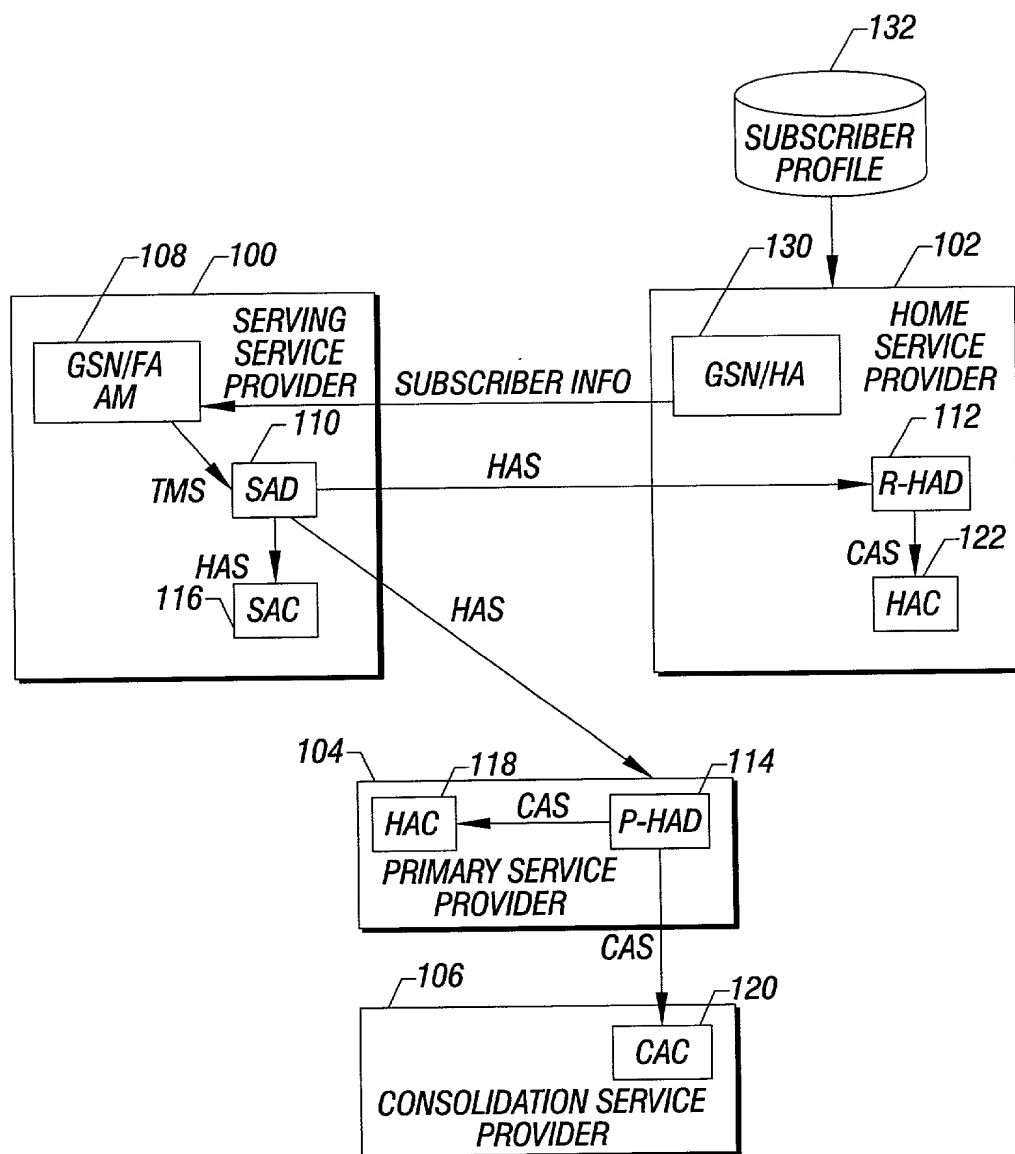
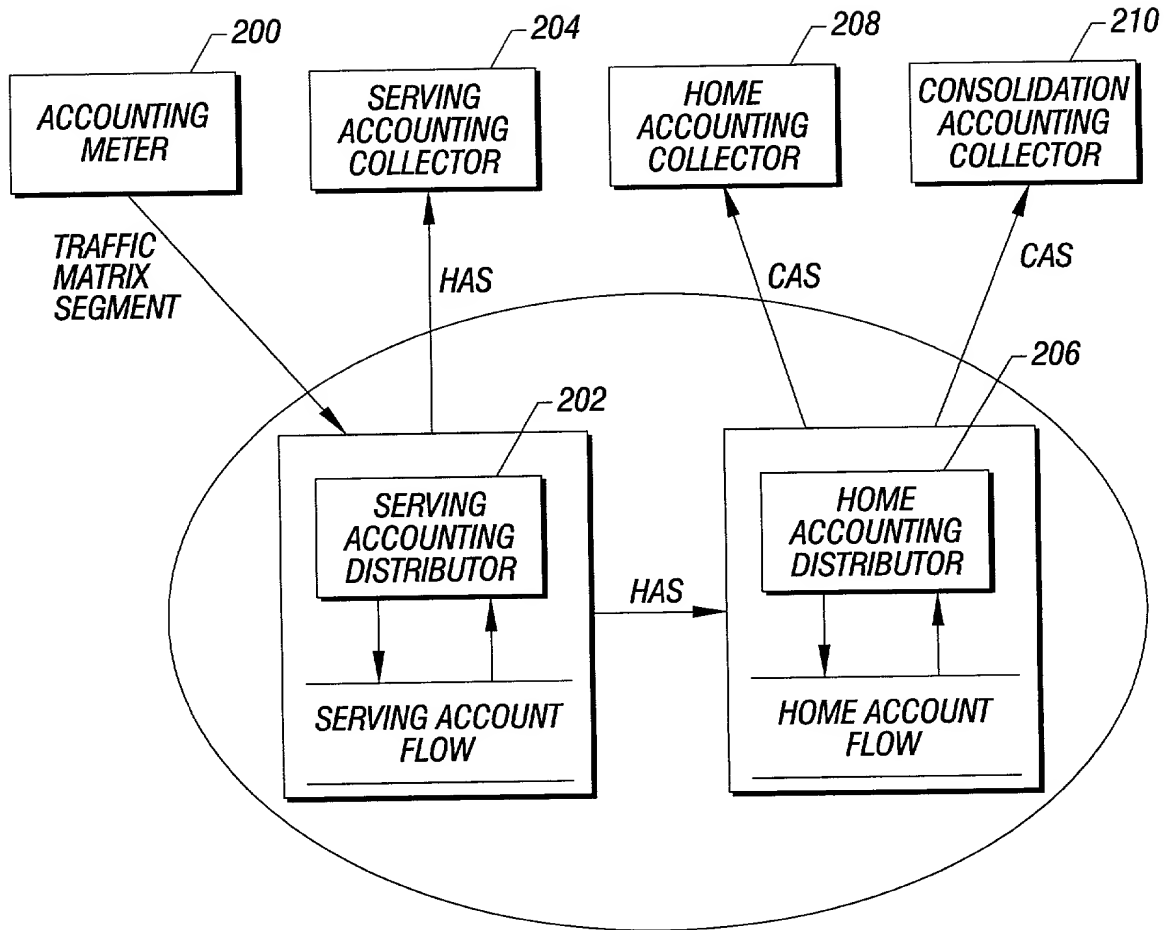
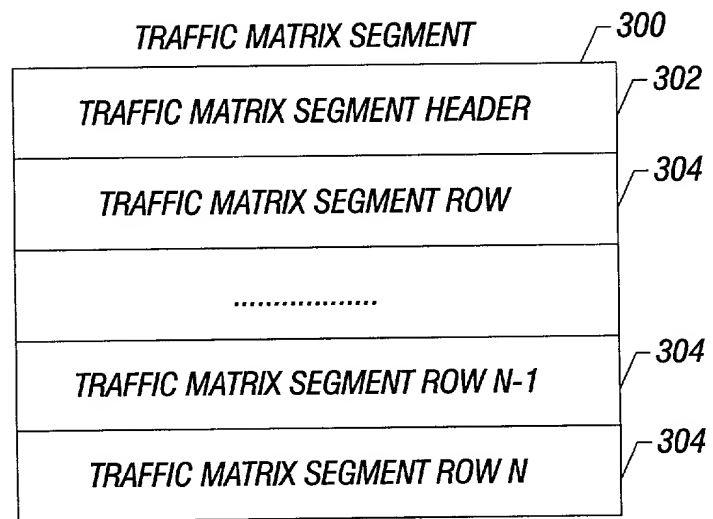
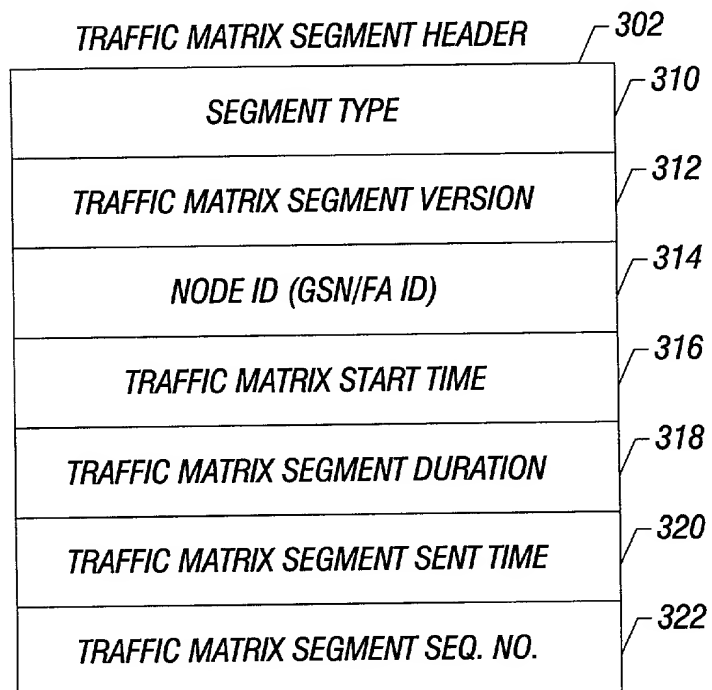


FIG. 4

**FIG. 5**

**FIG. 6****FIG. 7**

TRAFFIC MATRIX SEGMENT DATA ROW CONTENTS

	304
	400
CELL IDENTIFIERS	401
TRAFFIC TYPE	402
SERVING INFO	403
HOME INFO	404
EQUIPMENT IDENTIFIER	405
AUTHENTICATION SEQUENCE NUMBER	406
SOURCE DESTINATION FLAG	407
MIN	408
SESSION ID	409
SESSION DURATION	410
SUB USAGE DURATION	78
QUALITY OF SERVICE	411
CHARGING ID	412
PDP TYPE	81
NAI ADDRESS	71
SERVICE TYPES	79
SOURCE AND DESTINATION ADDRESS	72
USAGE OF AIR INTERFACE	82
USAGE OF PDP/TEP	74
USAGE MM	73
USAGE OF EXTERNAL DATA NETWORK	75
BILLABLE NUMBER	76
CHARGING ZONE	83
METERING CLASS	77
TERMINATION ERROR CODE	
	80
DATA PACKET COUNT	415
DATA OCTET COUNT	416
CONTROL PACKET COUNT	418
CONTROL OCTET COUNT	419
DISCARDED PACKET COUNT	

FIG. 8

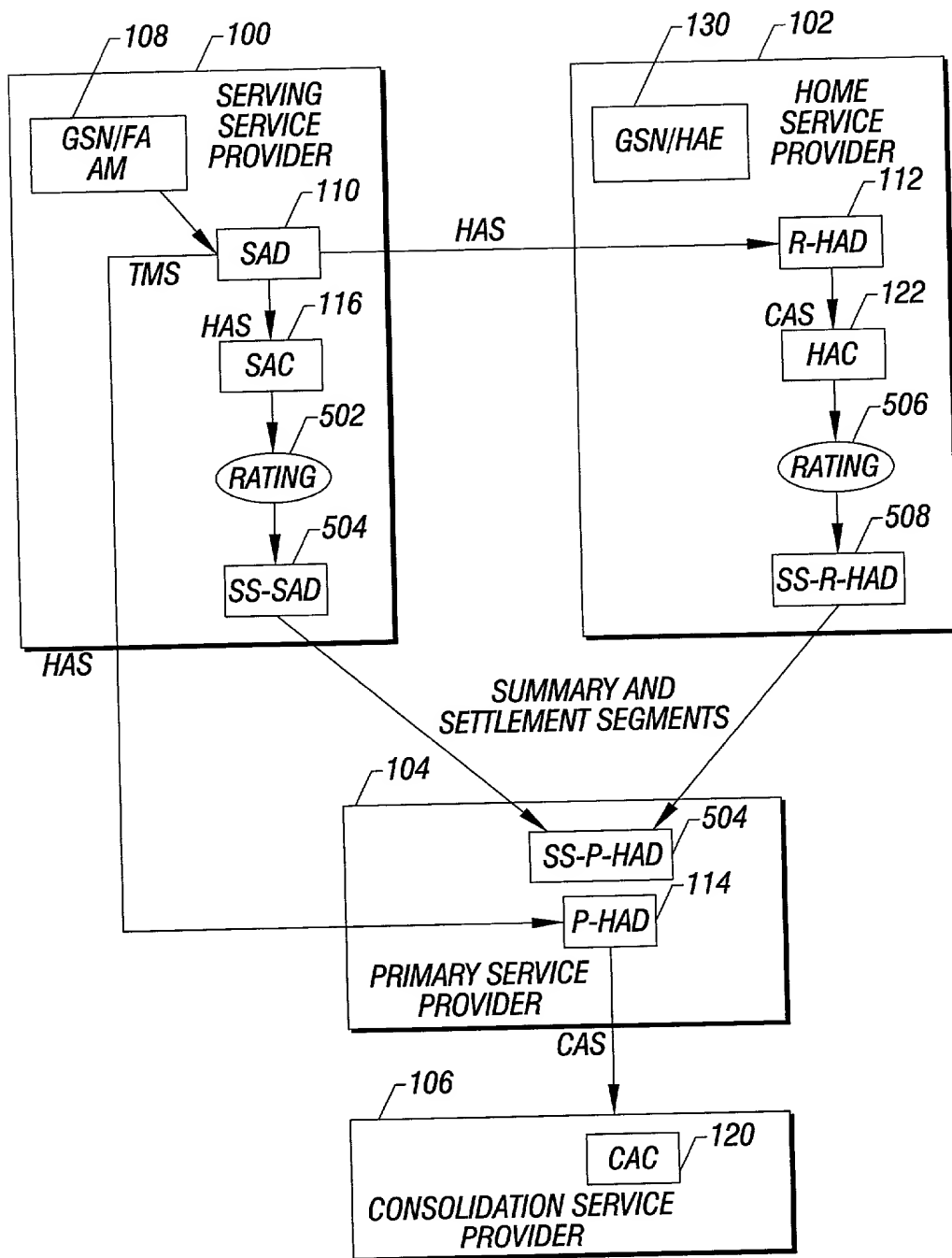


FIG. 9

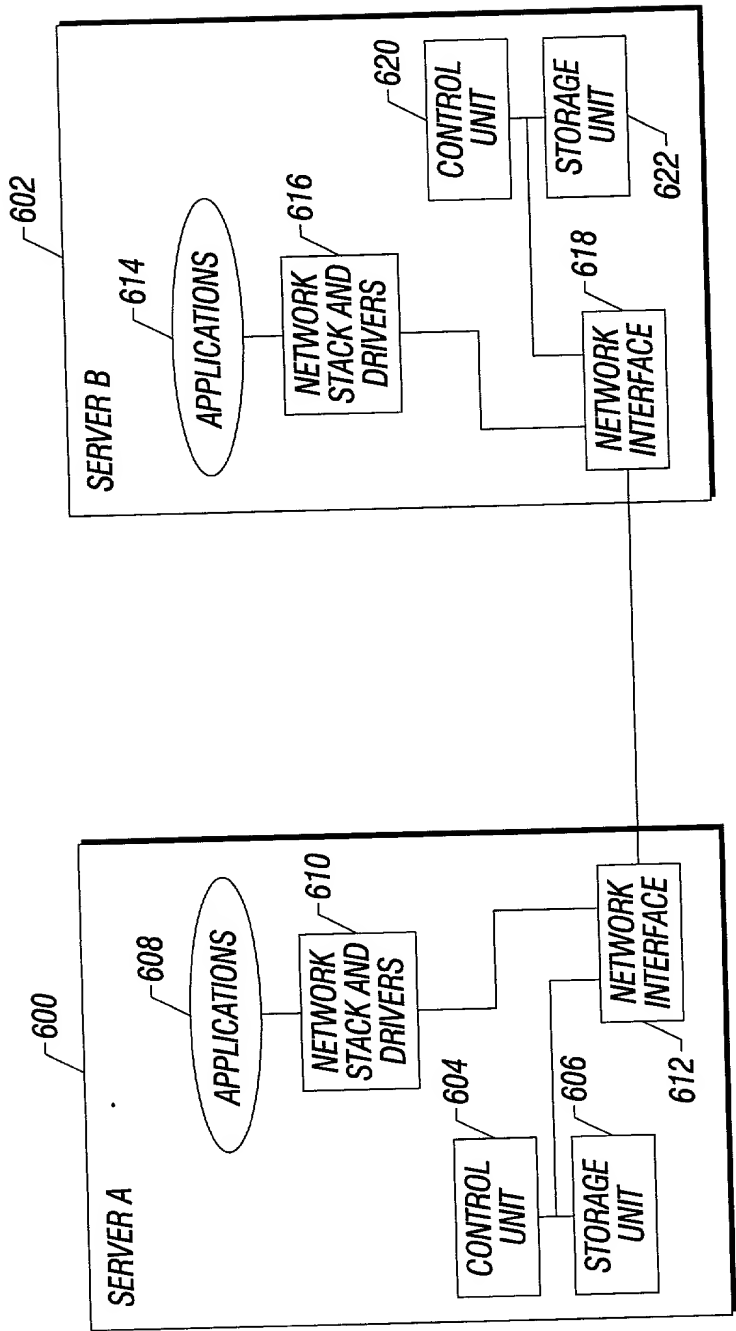


FIG. 10

Attorney's Docket No.: NORT-0013-US (RR2646)PATENT**DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below, next to my name.

I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**ACCOUNTING METHOD AND APPARATUS FOR COMMUNICATIONS
NETWORKS**

the specification of which

X

is attached hereto.
 was filed on _____ as
 United States Application Number _____
 or PCT International Application Number _____
 and was amended on _____
 (if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above. I do not know and do not believe that the claimed invention was ever known or used in the United States of America before my invention thereof, or patented or described in any printed publication in any country before my invention thereof or more than one year prior to this application, that the same was not in public use or on sale in the United States of America more than one year prior to this application, and that the invention has not been patented or made the subject of an inventor's certificate Issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months (for a utility patent application) or six months (for a design patent application) prior to this application.

I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d), of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s):Priority Claimed

<u>Number</u>	<u>(Country)</u>	<u>(Day/Month/Year Filed)</u>	<u>Yes</u>	<u>No</u>
<u>Number</u>	<u>(Country)</u>	<u>(Day/Month/Year Filed)</u>	<u>Yes</u>	<u>No</u>

I hereby claim the benefit under title 35, United States Code, Section 119(e) of the United States provisional application(s) listed below:

<u>60/104,107</u>	<u>10/13/98</u>
<u>(Application Number)</u>	<u>(Filing Date)</u>
<u>60/127,406</u>	<u>04/01/99</u>
<u>(Application Number)</u>	<u>(Filing Date)</u>

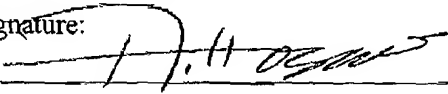

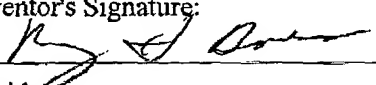
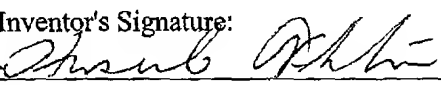
I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

<u>(Application Number)</u>	<u>Filing Date</u>	<u>(Status-patented, pending, abandoned)</u>
-----------------------------	--------------------	--

I hereby appoint Timothy N. Trop, Reg. No. 28,994; Fred G. Pruner, Jr., Reg. No. 40,779, Dan C. Hu, Reg. No. 40,025; Coe F. Miles, Reg. No. 38,559, and John R. Merkling, Reg. No. 31,716 my patent attorneys, of TROP, PRUNER, HU & MILES, P.C., with offices located at 8554 Katy Freeway, Ste. 100, Houston, TX 77024, telephone (713) 468-8880, and John D. Crane, Reg. No. 25,231; W. Glen Johnson, Reg. No. 39,525; Paul W. Fulbright, Reg. No. 38,145; Richard A. Weiss, Reg. No. 35,734; Vernon E. Williams, Reg. No. 38,713; my patent attorneys, of Nortel Networks Corporation; with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith.

Send correspondence to Dan C. Hu, TROP, PRUNER, HU & MILES, P.C., 8554 Katy Freeway, Ste. 100, Houston, TX 77024 and direct telephone calls to Dan C. Hu, (713) 468-8880.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Sole/First Inventor: Akram M. Hosain	
Inventor's Signature: 	Date: 10/1/99
Residence: P. O. Box 836864, Richardson, TX 75083	Citizenship: Bangladesh
Post Office Address: P. O. Box 836864, Richardson, TX 75083	
Full Name of Second/Joint Inventor: Emad Qaddoura	
Inventor's Signature: 	Date: 10/1/99
Residence: 1320 Watersedge Dr., Plano, TX 75093	Citizenship: U.S.A.
Post Office Address: 1320 Watersedge Dr., Plano, TX 75093	
Full Name of Third/Joint Inventor: Mary Barnes	
Inventor's Signature: 	Date: 9/30/99
Residence: 3820 Hidden Tr., Flower Mound, TX 75022	Citizenship: U.S.A.
Post Office Address: 3820 Hidden Tr., Flower Mound, TX 75022	
Full Name of Fourth/Joint Inventor: Haseeb Akhtar	
Inventor's Signature: 	Date: 10/1/99
Residence: 3102 Pamela Pl., Garland, TX 75044	Citizenship: U.S.A.
Post Office Address: 3102 Pamela Pl., Garland, TX 75044	

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